



MANGALMAY INSTITUTE OF MANAGEMENT & TECHNOLOGY
(Approved by AICTE, New Delhi & Affiliated to AKTU, Lucknow)
Knowledge Park-II, Greater Noida (U.P.)



MANGALMAY
INSTITUTE OF MANAGEMENT & TECHNOLOGY

CERTIFICATION PROGRAM

NISM Series 8: Equity Derivatives Certification

From

6th June – 17th June, 2022

Convener : Dr. Nishant Singh, Asst. Professor

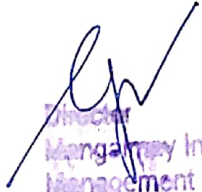
For MBA IInd Semester

Resource Person

Mr. CHANDRESH GUPTA

NISM Certified Trainer

www.mmm.org (Plot No. 8 & 9, Knowledge Park-II, Greater Noida, Delhi-NCR, India)


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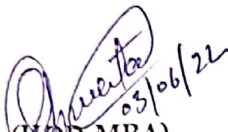
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Dated: 3rd June, 2022

NOTICE

This is to inform all MBA First year/2nd Sem students of Management Department that an Add-on Certification Course on "NISM Series 8: Equity Derivatives Certification" is being scheduled from 6th June – 17th June, 2022 at Seminar Hall, Block A. The course is being conducted by Mr. Chandresh Gupta, a certified trainer of NISM. The timing for the program will be 2:00pm to 5:00pm.

All interested students are required to enroll themselves to Dr. Nishant Singh, Assistant Professor, MIMT.


(HOD-MBA)
MIMT


Director
MIMT

Annexure:

Syllabus
Schedule

CC to:

IQAC Coordinator
All Faculty members
All Students
Notice Board/ Digital Platform/Students WhatsApp Group
Office File



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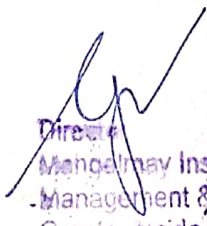
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SCHEDULE

“NISM Series 8”

30 Hrs.

Session	Content	Session Timing	Date
1.	Basics of Derivatives	2 pm to 5 pm	6 th June, 2022
2.	Understanding Index	2 pm to 5 pm	7 th June, 2022
3.	Introduction to Forwards and Futures	2 pm to 5 pm	8 th June, 2022
4.	Introduction to Options	2 pm to 5 pm	9 th June, 2022
5.	Option Trading Strategies	2 pm to 5 pm	10 th June, 2022
6.	Introduction to Trading Systems	2 pm to 5 pm	13 th June, 2022
7.	Introduction to Clearing and Settlement System	2 pm to 5 pm	14 th June, 2022
8.	Legal and Regulatory Environment	2 pm to 5 pm	15 th June, 2022
9.	Accounting and Taxation	2 pm to 5 pm	16 th June, 2022
10.	Sales Practices and Investor Protection Services	2 pm to 5 pm	17 th June, 2022


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Syllabus Outline

NISM-Series-VIII: Equity Derivatives Certification Examination

Course Code: NISM8

30 Hrs.

Objective of the Examination:

The examination seeks to create a common minimum knowledge benchmark for associated persons functioning as approved users and sales personnel of the trading member of an equity derivatives exchange or equity derivative segment of a recognized stock exchange.

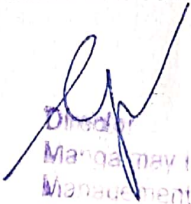
The examination aims to enable a better understanding of various derivatives products available in equity derivatives markets, regulations and risks associated with the products and the exchange mechanisms of clearing and settlement. The examination also covers knowledge competencies related to the understanding of the financial structure in India and the importance of the different rules and regulations governing the Indian securities market, especially those related to the equity derivatives segment.

On successful completion of the examination the candidate should:

- Know the basics of the Indian equity derivatives market.
- Understand the various trading strategies that can be built using futures and options on both stocks and stock indices.
- Understand the clearing, settlement, and risk management as well as the operational mechanism related to equity derivatives markets.
- Know the regulatory environment in which the equity derivatives markets operate in India.

Examination Specifications

- This is a computer-based examination with multiple choice questions.
- The examination is for a total of 100 marks consisting of 100 questions of 1 mark each.
- The examination should be completed in 2 hours.
- There shall be negative marking of 25% of the marks assigned to a question.
- The passing score for the examination is 60 marks.


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Syllabus Outline with Weightages

Unit No.	Unit Name	Weightage
Unit 1:	Basics of Derivatives	8%
Unit 2:	Understanding Index	2%
Unit 3:	Introduction to Forwards and Futures	25%
Unit 4:	Introduction to Options	25%
Unit 5:	Option Trading Strategies	3%
Unit 6:	Introduction to Trading Systems	4%
Unit 7:	Introduction to Clearing and Settlement System	13%
Unit 8:	Legal and Regulatory Environment	15%
Unit 9:	Accounting and Taxation	3%
Unit 10:	Sales Practices and Investor Protection Services	2%

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REPORT	
NISM Series 8: Equity Derivatives Certification	
Name of Activity	NISM Series 8: Equity Derivatives Certification
Date	6th June – 17th June, 2022 (2:00pm – 5:00pm)
Venue	Seminar Hall, Block A, MIMT
Organized by	Management Department
Name of Expert	Mr. Chandresh Gupta, NISM Certified Trainer
Beneficiary	Students of MBA2 nd Sem (12 students)
Activity - Convener	Dr. Nishant Singh, Asst. Professor, MIMT
Objective	The certification aimed to enable students to gain a better understanding of various derivatives products available in equity derivatives markets, regulations and risks associated with the products and the exchange mechanisms of clearing and settlement.
Content	<p>As per MOU signed between Nifty Ki Pathshala & Mangalmay Institute of Management & Technology, Institute had organised an Add-on certification course on “NISM Series 8: Equity Derivatives Certification”. Expert started the session with some theoretical about the basics of Derivatives, their role & structure & different kinds of derivative & their features.</p> <p>Day 1: On Day 1 of the session, expert explained about the various derivative products available in equity derivatives markets, regulations and risks associated with the products and the exchange mechanisms of clearing and settlement. The expert also discussed knowledge and competencies related to the understanding of the financial structure in India and the importance of the different rules and regulations governing the Indian securities market, especially those related to the equity derivatives segment.</p> <p>Day 2: In this session, a detailed discussed was done of derivative index.</p>

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The resource person explained in this session how value of the contract derived from an underlying stock market index.

Day 3: In this session, the expert discussed about the forwards and futures contract. This session provided participants with a deep dive into the differences between forwards and futures contracts, and how they are used in today's marketplace. Participants also explored how futures markets function, the role of the exchanges and how futures contracts are priced.

Day 4: The session on Day 4 focussed on different kind of options, and option trading strategies that offers a wealth of possibilities to the market participants.

Day 5: In this session, the students got insights about Options trading strategies and how they limit risk, hedge against loss, or speculate.

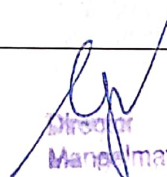
Day 6: This session is on the trading system. Resource person discussed various types of trading styles in trading system. It was also discussed by expert how investor can manage risk and to increase profitability in any market environment.

Day 7: This session was on Clearing and Settlement Procedure in the Indian Stock Market. Discussion was done with students regarding responsibility for clearing and settlement of trade executed at the stock exchange.

Day 8: In this session, the resource person discussed the regulatory framework of derivative trading in the Indian market and global market.

Day 9: This session was on Taxation of Financial Derivatives. The resource person discussed taxation applicability and tax provisions related to the income from transactions in derivative market.

Day 10: In this session, the expert highlighted some regulatory and investors protection measures related to Derivatives Market in India.


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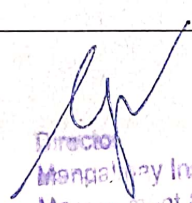
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Outcome of Activity	Students learned the basics of the Indian equity derivatives market. And gained understanding of various trading strategies that can be built using futures and options on both stocks and stock indices. Apart from this, students also understood the clearing, settlement, and risk management as well as the operational mechanism related to equity derivatives markets. And regulatory environment in which the equity derivatives markets operate in India.
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List of Beneficiary

S. No.	Beneficiary
1.	Akash Chauhan
2.	Aradhana Kumari
3.	Ashutosh Mishra
4.	Ayush Dev
5.	Hritik Upadhyay
6.	Manisha Singh
7.	Ruchi Burnwal
8.	Shivangi Ranjan
9.	Somansh Gautam
10.	Sunidhi Singh
11.	Utkarsh Bhatnagar
12.	Vansh Jain


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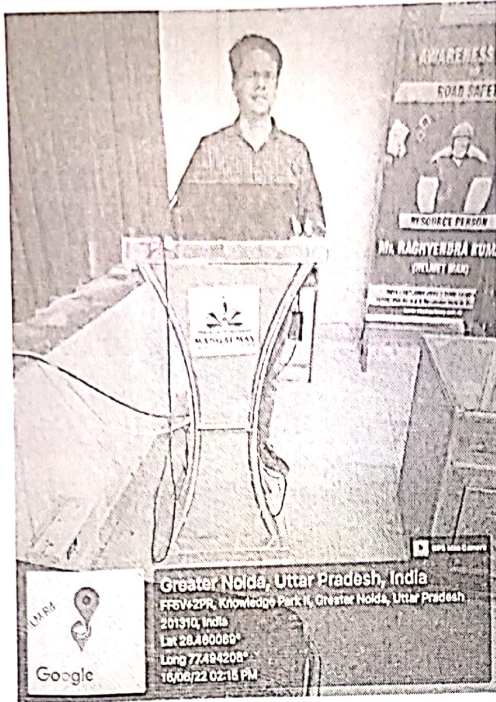


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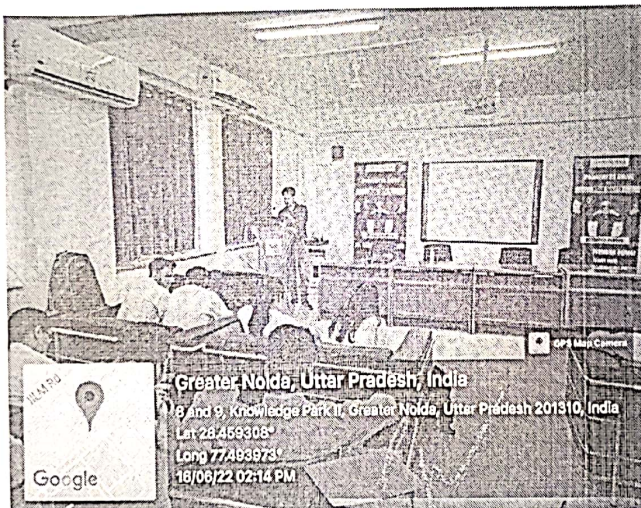
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Photographs



The resource Person Mr. Chandresh Gupta during session on NISM 8



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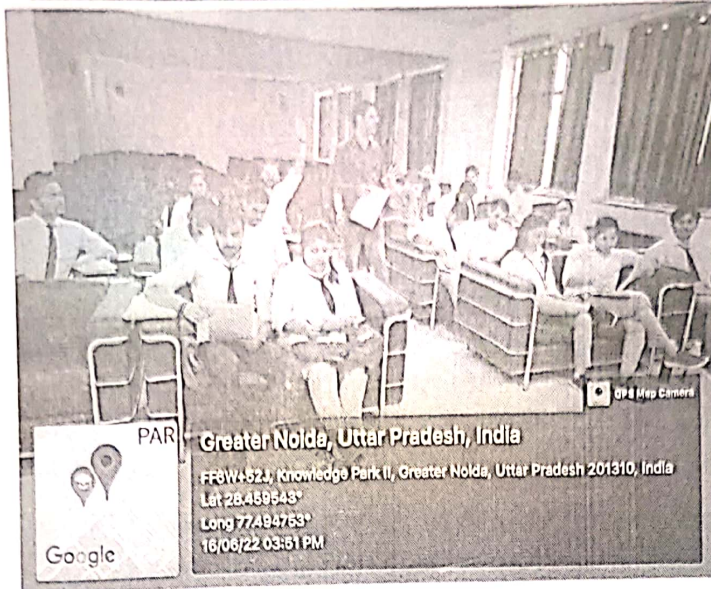




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Students of MBA 1st year attending NISM 8 session

Kishant

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NISM NATIONAL INSTITUTE OF SECURITIES MARKETS
An Educational Initiative by SEBI



Provisional Certificate

Registration Number : NISM-202100123017
 Enrolment Number : 2200187338
 Candidate Name : UTKARSH BHATNAGAR
 PAN : EEWPB4439C
 Examination Name : NISM-Series-VIII: Equity Derivatives Certification Examination
 Language : English
 Testing Centre : Delhi - Rajender Place
 Examination Date : 12-Jul-2022
 Examination Time : 16:00 - 18:00



Marks Scored	Out of Total Marks	Percentage	Passing Percentage	No. of Questions attempted	Questions answered Correctly	Questions answered Incorrectly	Result
77	100	77	60	92	80	12	Pass

Admissions Open 2022

Full-time program		Weekend Program	
2-year Full-time program Post Graduate Diploma in Management (Securities Markets) <small>AICTE Approved</small>	1-year Full-time program LLM IN INVESTMENT AND FINANCIAL MARKETS <small>AICTE Approved</small>	6-month Regular Program Certificate Program in Commodity Warehousing Management COMMODITY	15-month Weekend Program Post Graduate Program (Portfolio Management / Investment Advisory / Research Analyst)
		14-month Regular Weekend Program Post Graduate Certificate in Management (Data Science in Financial Markets) <small>AICTE Approved</small>	

www.nism.ac.in/academics

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